PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **FEE TRANSMITTAL FOR FY 2001 TOTAL AMOUNT OF PAYMENT (\$)** 240.00 Complete if Known: 09/658,393 Application No. 09/08/2000 Filing Date First Named Inventor Kathrin Berkner, et al. Group Art Unit Not yet assigned Examiner Name Not yet assigned Attorney Docket No. 074451.P110 **METHOD OF PAYMENT (check one)** The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: **Deposit Account Number** 02-2666 Deposit Account Name Blakely, Sokoloff, Taylor & Zafman, LLP [X 1 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27 Х Payment Enclosed: Check **Credit Card Money Order** Other **FEE CALCULATION BASIC FILING FEE** 1. Large Entity **Small Entity** Fee Fee Fee Fee Code Fee Paid Code **Fee Description** (\$) (\$) 101 710 201 355 Utility application filing fee 106 Design application filing fee 320 206 160 Plant filing fee 107 490 245 207 108 710 208 355 Reissue filing fee 75 Provisional application filing fee 114 150 214 SUBTOTAL (1) \$ 0 2. **EXTRA CLAIM FEES** Fee from **Extra Claims** below Fee Paid **Total Claims** -20** =Independent Claims ____ **Multiple Dependent** **Or number previously paid, if greater; For Reissues, see below. Large Entity **Small Entity** Fee Fee Fee Fee Code Fee Description (\$) Code (\$) 103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid **Reissue independent claims over original patent 109 80 209 40 **Reissue claims in excess of 20 and over original patent 110 18 210 9 SUBTOTAL (2) \$



a check in the amount of \$110.00 in payment of the extension of

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: January 11, 2001

Michael J. Mallie Reg. No. 36,591

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8598

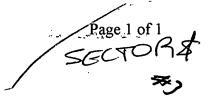
FIRST CLASS CERTIFICATE OF MAILING

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on	January 11, 2001	
	Date of Deposit	;
	Mara E. Brown	
	Name of Person Mailing Cor	respondence
	march	
	Signature	Date



United States Patent and Trademark Office



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/658,393

09/08/2000

Kathrin Berkner

074451.P110

Michael J Mallie Blakely Sokoloff Taylor & Zafman LLP Seventh Floor 12400 Wilshire Boulevard Los Angeles, CA 90025-1026



FORMALITIES LETTER OC000000005497466°

Date Mailed: 10/23/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

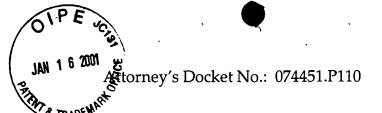
A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/19/2001 AGDITOM 00000016 09658393

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:				
Kathrin Berkner et al.				
Application No.:	09/658,393))		
Filed: Septemb	per 8, 2000)) `		
For: WAVELE PATH	T-BASED IMAGE PROCESSING)))		

Assistant Commissioner for Patents Box Missing Parts Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed October 23, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
 - (3) a copy of the Notice to File Missing Parts of Application;
- (4) a Petition for a 1 month extension of time under 37 C.F.R. § 1.136(a); and

FEE	CALCULA	ATION (co	ntinued)	OLPE	
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3.	1 100 4 2 200				
Large	Entity	Small E	Entity	(JAN 1 6 2001)	
Fee	Fee	Fee	Fee		
Code		Code	(\$)	Fee Description and	Fee Paid
105	130	205	65	Fee Desoription FMARM Surcharge - late filing fee or oath	<u> 130.00</u>
127	50	227	25	Surcharge - late provisional filing fee	
				or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after	
	•		·	Examiner action	
115	110	215	55	Extension for reply within first month	<u>110.00</u>
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155 125	Filing a brief in support of an appeal	
121	270	221	135 1,510	Request for oral hearing Petition to institute a public use proceeding	
138 140	1,510 110	138 240	1,510 55	Petition to institute a public use proceeding Petition to revive unavoidable	
141	1,240	240 241	620	Petition to revive - unintentional	
142	1,240	241	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per	
				property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined	
. 73	, 10	£73	000	(see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design	
				application	
Other fee (specify)					
Other	fee (speci	fy)	,		
SUBTOTAL (3) \$ 240.00					
*Reduced by Basic Filing Fee Paid					
SUBMITTED BY:					
Typed or Printed Name: Michael J. Mallie					
Signature: Date: _January 11, 2001					
Reg.	Number:	<u>36,59</u> 1		Telephone Number: 408-720-8	3300

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addressed to the	Assistant Commissioner for	Patents, washington, D.C.	2023
on	1/11/01		
	Date of Deposit		
	Date of Deposit Mara E Brow	<u> </u>	_
N	ame of Person Mailing Corr		
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S	ignature	Date	

Serial/Patent No.: 09/658,393 Filing/Issue Date: 09/08/00 Client: Ricoh Corporation Title: WAVELET-BASED IMAGE PROCESSING PATH BSTZ File No.: 074451.P110 Date Mailed: 01/11/2001 The following has been received in the U.S. Patent Amendment/Response (pgs.) Appela Brief (pgs.) (in triplicate) Application - Rule 1.53(b) Continuation (pgs.) Application - Rule 1.53(b) Divisional (pgs.) Application - Rule 1.53(b) Divisional (pgs.) Application - Rule 1.53(b) CIP (pgs.) Application - Rule 1.53(d) CPA Transmittal (pgs.) Application - Rule 1.53(d) CPA Transmittal (pgs.) Application - PCT (pgs.) Application - Post (pgs.) Application - Provisional (pgs.) Application - Provisional (pgs.) Application - Provisional (pgs.) Application - Post (pgs.) Applicatio		The state was made and the state of the stat
The following has been received in the U.S. Patent Amendment/Response (pgs.) Appeal Brief (pgs.) (in triplicate) Application - Rule 1.53(b) Continuation (pgs.) Application - Rule 1.53(b) Divisional (pgs.) Application - Rule 1.53(b) CIP (pgs.) Application - Rule 1.53(d) CPA Transmittal (pgs.) Application - Design (pgs.) Application - PCT (pgs.) Application - PCT (pgs.) Assignment and Cover Sheet Certificate of Mailing Docket Due Date: 12/23/00 Express Mail No.:	Client: Ricoh Corporation Title: WAVELET-BASED IMAGE	Filing/Issue Date: 09/08/00 E PROCESSING PATH
	BSTZ File No.: 074451.P110 Date Mailed: 01/11/2001 The following has been received in the U.S. F Amendment/Response (pgs.) Appeal Brief (pgs.) (in triplicate) Application - Utility (pgs., with cover and abstract) Application - Rule 1.53(b) Continuation (pgs.) Application - Rule 1.53(b) Divisional (pgs.) Application - Rule 1.53(b) CIP (pgs.) Application - Rule 1.53(d) CPA Transmittal (pgs.) Application - PCT (pgs.) Application - PCT (pgs.) Application - Provisional (pgs.) Assignment and Cover Sheet Certificate of Mailing Declaration & POA (pgs.) (Signed) Discoure Does & Oig & Copy of invertoob Signed Letter(pgs.) Drawings:# of sheets includes figures	Atty/Secty Initials: MJM/mzb Docket Due Date: 12/23/00 Patent & Trademark Office on the date stamped hereon: Express Mail No.: Check No. 40235 M One Month(s) Extension of Time Am: \$1.30.00 Issue Fee Transmittal Am: \$1.10.00 Notice of Appeal Petition for Extension of Time Petition for Extension of Time Petition for Extension of Time Preliminary Amendment (pgs.) Preliminary Amendment (pgs.) Reply Brief (pgs.) Response to Notice of Missing Parts Small Entity Declaration for Indep Inventor/Small Business Transmittal Letter, in duplicate Fee Transmittal, in duplicate